

Co-author declaration

A co-author declaration must be enclosed with any application to have an article-based thesis assessed for the degree of PhD (or for the degree of Dr.Philos.) at the Faculty of Arts and Education at the University of Stavanger

The declaration must describe the independent research contributions of the student and the co-authors in the articles of the thesis. All the authors (first author and co-authors) must fill in and sign a declaration concerning the basis for the co-authorship (capital letters if handwritten).

In cases where the supervisor is also a co-author, the supervisor must fill in and sign a co-author declaration for his or her independent contribution as co-author. In such cases, it is important to distinguish between the supervision that is given and the basis for the co-authorship (see the research ethics guidelines for the social sciences, humanities, law and theology, NESH 2016)¹.

According to the research ethics guidelines, there are four criteria for legitimate co-authorship: The researcher must **1) have made a significant contribution to the idea and formulation or data acquisition or analysis and interpretation of data, and 2) have contributed to the preparation of the manuscript or critical revision of the publication's intellectual content, and 3) have approved the final version for publication, and 4) be able to vouch for and be held accountable for the work in its entirety** (NESH 2016, p. 27).

The research ethics guidelines emphasise that it is common in the humanities and social sciences to require "only those who have actually contributed to the analysis and the work on the text itself for a scientific publication to be listed as co-authors. It is therefore not sufficient to have contributed to the intellectual work on the article in a broad sense" (acquisition of data, critical revision and approval of the product). Such contributors "are credited or thanked in footnotes or a final note (*Acknowledgements*). All forms of honorary authorship are unacceptable. Authorship shall be limited to those who have made a significant intellectual contribution to the research. General guidance, the securing of funding or the acquisition of data does not give entitlement to co-authorship in itself" (based on ICJME²).

The declaration concerns the following article: _____

Candidate: _____

first author second author other, please specify: _____

The candidate's independent contribution:

a) has made a significant contribution to:
the idea and formulation OR no yes
the data acquisition OR no yes
the data analysis and interpretation no yes

AND

b) has contributed to:
the preparation of manuscript OR no yes
the critical revision of the publication's intellectual content no yes

AND

c) has approved the final version for publication: no yes

AND

d) can vouch for and be held accountable for the work in its entirety (though not necessarily all technical details) unless specified otherwise: no yes

As far as you are aware, has this article been used in the evaluation of other doctoral projects? no yes

If yes, what PhD project (name and author):

Place, date

Signature of candidate

¹ <https://www.forskningsetikk.no/retningslinjer/hum-sam/forskningsetiske-retningslinjer-for-samfunnsvitenskap-humaniora-juss-og-teologi/> [loaded 20/11/2020].

² International Committee of Medical Journal Editors (ICMJE) [...] Available at <http://www.icmje.org/icmje-recommendations.pdf> [loaded 20/11/2020].

Co-author: _____

first author second author other, please specify:

I hereby declare that I fulfil the requirements of authorship according to the above specified criteria of the Vancouver Declaration.

As far as I am aware, this article has ~~-not-~~ been used in the evaluation of other PhD projects.
(please cross out "not" if relevant)

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Place, date

Signature of co-author

Co-author: _____

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